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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In-re Application

Inventor(s): Charles E. Taylor, Shek Fai Lau,  
SC/Serial No.: 10/023,460  
Confirm. No.: 3479  
Filed: December 13, 2001  
Title: ELECTRO-KINETIC AIR TRANSPORTER CONDITIONER  
WITH PIN-RING ELECTRODE CONFIGURATION

PATENT APPLICATION

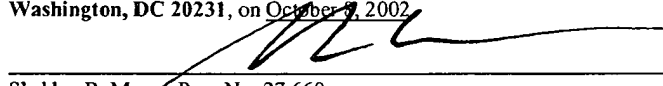
Art Unit: 1741  
Examiner: Thao Tran

Customer No. 23910

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, c/o Examiner Thao Tran, Crystal Plaza 3, 8<sup>th</sup> Floor Receptionist, Washington, DC 20231, on October 8, 2002.

  
Sheldon R. Meyer, Reg. No. 27,660  
Signature Date: October 8, 2002

(Attorney Signature)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
c/o Examiner Thao Tran  
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance

is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

✓ A copy of the PCT Search Report dated August 6, 2001 as well as cited references is enclosed.

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

***This statement should be considered because:***

✓ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

— **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
- (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);

-- AND --

(3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: October 8, 2002

By:   
Sheldon R. Meyer, Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone (415) 362-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12  
04/23/03  
AS

In re Application

Inventor(s): Charles E. Taylor et al.

Serial No.: 10/023,460

Confirm. No.: 3479

Filed: December 13, 2001

Title: Electro-Kinetic Air Transporter-Conditioner with Pin-Ring Electrode Configuration

PATENT APPLICATION

Art Unit: 1711

Examiner: Thao T. Tran

Customer No. 23910

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Jeffrey R. Kurin (Attorney Signature)  
Jeffrey R. Kurin, Reg. No. 41,132  
Signature Date: 4/15/03

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
Washington, DC 20231

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Respectfully submitted,

Date: 4/15/03

By: Jeffrey R. Kurin  
Jeffrey R. Kurin, Reg. No. 41,132

Fliesler Dubb Meyer & Lovejoy LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone (415) 362-3800

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The United States Patent and Trademark Office date stamp  
sets forth the date of receipt of:

Inventor: Charles E. Taylor, et al.

Application No. 10/023,460

Filing Date: December 13, 2001

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER  
WITH PIN-RING ELECTRODE CONFIGURATION

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OCT 21 2002  
FLIESLE, JUBB,  
MEYER & LOVEJOY

**Enclosed:**

1. Supplemental Information Disclosure Statement, PTO 1449  
(6 sheets), along with cited references;
2. Copy of the PCT Search Report dated August 6, 2001; and
3. Certificate of Mailing.

Attorney Docket No.: SHPR-01041USJ SRM

Attorney: SRM/js

Mailing Date: October 8, 2002

Date Due: n/a

